

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 6232-218(153451)	SERIAL NO. 10/620/476 10/011,039
		APPLICANT: N. Mayo et al.	
		FILING DATE 07/16/2003 November 13, 2001	GROUP 2832

INFORMATION DISCLOSURE CITATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>ME</i>	DA	5,921,381	7/13/99	Von Arx	200	345	
	DB	6,005,308	12/21/99	Bryde et al.	307	157	
	DC	6,013,885	1/11/00	Kowalczyk	200	315	
	DD	6,017,585	8/22/00	Gehr	200	505	
	DE	Des. 337,755	7/27/93	Rowen et al.	D13	170	
	DF	Des. 342,234	12/14/93	Graybill, Jr. et al.	D13	169	
	DG	Des. 353,798	12/27/94	Bryde et al.	D13	169	
	DH	Des. 364,141	11/14/95	Hanna et al.	D13	125	
<i>ME</i>	DI	Des. 439,220	3/20/01	Mayo et al.	D13	125	
	DJ						
	DK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	<i>[Signature]</i>	DATE CONSIDERED	<i>11/17/03</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO. <i>10/620476</i>
		6232-218(153451)	10/011,039
		APPLICANT:	
		N. Mayo et al.	
INFORMATION DISCLOSURE CITATION		FILING DATE <i>07/16/2003</i>	GROUP
		November 13, 2001	2832

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>MF</i>	AA	2,460,599	10/3/45	Rowe	74	565	
	AB	3,571,545	3/23/71	Haderer	200	166	
	AC	3,684,850	8/15/72	Kaderbek et al.	200	166	
	AD	3,939,318	2/17/76	Brown et al.	200	159R	
	AE	4,109,121	8/22/78	Bauer et al.	200	67E	
	AF	4,159,563	7/3/79	Bauer et al.	29	622	
	AG	4,352,964	10/5/82	English	200	5A	
	AH	4,672,229	6/9/87	Skarman et al.	307	115	
	AI	4,783,581	11/8/88	Flowers et al.	200	332	
<i>MF</i>	AJ	4,822,963	4/18/89	Martin	200	296	
<i>MF</i>	AK	4,871,893	10/3/89	Slovak et al.	200	16R	

FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>MF</i>	AL	NEMA Standards Publication No. ANSI/NEMA WD 6-2001, WIRING DEVICES-DIMENSIONAL SPECIFICATIONS, pages 5 and 7. (no date)
<i>MF</i>	AM	Toggler® dimmer switch, Model TG-600PH, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.
<i>MF</i>	AN	DIVA Duo brand dimmer switch and wallplate, Model LDV-600P, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.
EXAMINER		DATE CONSIDERED
<i>[Signature]</i>		<i>11/17/03</i>

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION	ATTY. DOCKET NO. 6232-218(153451)	SERIAL NO. <i>10/620/476</i> 10/011,039
	APPLICANT: N. Mayo et al.	
	FILING DATE <i>07/16/2003</i> November 13, 2001	GROUP 2832

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>MF</i>	BA	4,880,950	11/14/89	Carson et al.	200	547	
	BB	4,939,383	7/3/90	Tucker et al.	307	139	
	BC	4,947,054	8/7/90	Flowers et al.	307	125	
	BD	5,068,639	11/26/91	Swanson et al.	338	179	
	BE	5,248,919	9/28/93	Hanna et al.	315	291	
	BF	5,262,678	11/16/93	Flowers et al.	307	125	
	BG	5,338,910	8/16/94	Tsai	200	547	
	BH	5,343,007	8/30/94	Roeser	200	296	
	BI	5,359,231	10/25/94	Flowers et al.	307	125	
<i>✓</i>	BJ	5,399,821	3/21/95	Inagaki et al.	200	341	
<i>MF</i>	BK	5,428,674	6/27/95	Kawashima	379	100	

FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES <input type="checkbox"/> NO <input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>MF</i>	BL	Maestro® dimmer switch, Model MA-600H, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.
<i>MF</i>	BM	Lumea 2 brand dimmer switch, Model LTLV-603PH, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.
<i>MF</i>	BN	VAREO® dimmer switch, Model V-1000, Lutron Electronics Co., Inc., Coopersburg, PA, known prior to November 13, 2001.
EXAMINER <i>Yes</i>		DATE CONSIDERED <i>11/17/03</i>

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 6232-218(153451)	SERIAL NO. 10/620476 10/011,039
		APPLICANT: N. Mayo et al.	
		FILING DATE 07/16/2003 November 13, 2001	GROUP 2832

INFORMATION DISCLOSURE CITATION


U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
MF	CA	5,446,252	8/29/95	Burger	200	329	
	CB	5,584,380	12/17/96	Naitou	200	315	
	CC	5,608,196	3/4/97	Hall et al.	200	61.19	
	CD	5,637,930	6/10/97	Rowen et al.	307	112	
	CE	5,669,484	9/23/97	Paulson	200	43.22	
	CF	5,721,405	2/24/98	Hamada	200	16R	
	CG	5,753,983	5/19/98	Dickie et al.	307	141.4	
	CH	5,821,482	10/13/98	Ootani et al.	200	5A	
	CI	5,844,182	12/1/98	Hirano et al.	200	5R	
	CJ	5,881,866	3/16/99	Miyajima et al.	200	513	
MF	CK	5,909,087	6/1/99	Bryde et al.	315	149	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED 11/17/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO. <u>10/620/476</u>
		6232-218 (153451)	10/011,039
		APPLICANT:	
		N. Mayo et al.	
INFORMATION DISCLOSURE CITATION		FILING DATE	GROUP
		November 13, 2001 <u>07/16/2003</u>	2832


U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

ME	AA	"Lutron® Residential Lighting Controls Catalog" by Lutron Electronics Co., Inc. of Coopersburg, PA, Oct. 31, 2001, pgs. 30-32, 52-68 and 80-87.
ME	AB	Product literature for "Maestro® Electronic Low-Voltage Dimmers" by Lutron Electronic Co., Inc. of Coopersburg, PA, December 2001, 2 pages.
ME	AC	Product literature for "VAREO® and NOVA T (star design)® Controls" by Lutron Electronics Co., Inc. of Coopersburg, PA, December 1996, 2 pages.
EXAMINER		
	DATE CONSIDERED <u>11/17/03</u>	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 6232-218(153451)	SERIAL NO. 10/620476 10/011,039
		APPLICANT: N. Mayo et al.	
		FILING DATE 07/16/2003 November 13, 2001	GROUP 2832

INFORMATION DISCLOSURE CITATION


U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>ML</i>	AA	"Lutron® Residential Lighting Controls Catalog" by Lutron Electronics Co., Inc. of Coopersburg, PA, Oct. 31, 2001, pgs. 30-32, 52-68 and 80-87.
<i>ML</i>	AB	Product literature for "Maestro® Electronic Low-Voltage Dimmers" by Lutron Electronic Co., Inc. of Coopersburg, PA, December 2001, 2 pages.
<i>ML</i>	AC	Product literature for "VAREO® and NOVA T (star design)® Controls" by Lutron Electronics Co., Inc. of Coopersburg, PA, December 1996, 2 pages.
EXAMINER		
	DATE CONSIDERED 11/17/03	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.